Customized FORM PTO-1390 (Rev 07-2005)

ATTORNEY DOCKET NO. TRANSMITTAL LETTER TO THE UNITED STATES P07921US01/BAS DESIGNATED/ELECTED OFFICE (DO/EO/US) US APPL. NO. (If known. see 37CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** 10/553,225 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US04/11949 16 April 2004 16 April 2003 TITLE OF INVENTION: STAPHYLOCOCCUS AUREUS EFB PROTEIN AND C3 BINDING REGION... APPLICANT(S) FOR DO/EO/US: BROWN Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371. 3. This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). c. is not needed since the International Application is in English. 7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or **declaration** of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English translation of the annexes to the Int'l Pre. Exam. Report under PCT Article 36 (35 USC 371(c)(5)). Items 11. to 20. below concern document(s) or information included: □ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 & 3.31 is included. 13. A preliminary amendment and attachments including Sequence Listing and Statement ☐ 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 & 37 CFR 1.821-825. 18. A second copy of the published international application under 35 USC 154(d)(4). 19. A second copy of the English translation of the international application under 35 USC 154(d)(4). 20. Other items or information: Return Receipt Postcard. A copy of the Notification of Missing Requirements under 35 U.S.C. 371. In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 21(c). Date: September 13, 2006

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	U.S. APPLICATIO 10/553,			ATTORNEY DOCKET NO. P07921US01/BAS				
	The following fees have been submitted:				CALCULATIONS	PTO USE ONLY		
	21. Basic nation	national fee (37 CFR 1.492(a)) \$300			\$			
	23. Search fee Written Opinion or IPER by US indicates all claims satisfy PCT Art. 33(1-4) \$ Has been paid on the Int'l Appln. to the USPTO as ISA \$10 International Search Report prepared and provided to the Office \$40) \$0 \$200	\$	
						\$100 \$100 \$400 \$500	\$	
	TOTAL OF 21, 22 AND 23					\$		
	SIZE FEE: sheets $-100 = \div 50 = $ [rounded up] \times \$250 =						\$	
	Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)) \$130					\$130		
	CLAIMS	NUMBER FILED	NUMBER EX	TRA	RATE			
	Total Claims	- 20 =			X \$50 =	=	\$	
	Independent Claims	- 03 =			X \$200	=	\$	
	☐ Multiple Dependent Claim(s) (if applicable) + \$360				+ \$360	=	\$	
	TOTAL OF ABOVE CALCULATIONS =					\$130		
	Applicant claims small entity status - 37 CFR 1.27. Fees above reduced by ½.					\$65		
					SUBTOT	AL =	\$ 65	
	Processing fee for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)) \$130					\$		
	TOTAL NATIONAL FEE =					\$		
	Fee for recording enclosed assignment (37 CFR 1.21(h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) \$40 per property					\$		
09/18/200	TOTAL FEES ENCLOSED =					\$65		
01 FC:281	S5.30 GA Amount to be					Refunded	S	
						Charged	\$	
	Payment of \$65 is made by attached Credit Card Payment Form (PTO-2038) The Commissioner is hereby authorized to charge any additional fees which may be required for this submission (except claims fees if the box below is checked) or credit any overpayment to Deposit Account No. 12-055							. 12-0555.
	Do not charge any claim fees now - any additional claims fees will be paid with the Missing Req. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR).							
			filed and granted to restore the application to pending status.					
	POWER OF ATTORNEY & NAME: B. Aaron Schulr			hulman				
	CORRESPONDENCE ADDRESS:		REG. NO.: 31,877					
	CUSTOMER NO. 00881 Signed (for) by:			8/	le de	20		
	Date: September 13, 2006 REG. NO.: 31,877							

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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. P07921US01/BAS

ATTY. DOCKET NO.

10/553,225 Eric Brown

INTERNATIONAL APPLICATION NO.

PCT/US04/11949

I.A. FILING DATE

PRIORITY DATE

04/16/2004

04/16/2003

881 STITES & HARBISON PLLC 1199 NORTH FAIRFAX STREET SUITE 900 ALEXANDRIA, VA 22314

JUL 2 8 2006

STITES & HARRISON PLLC

CONFIRMATION NO. 8963 371 FORMALITIES LETTER

OC00000019752804

9-26-200

Date Mailed: 07/26/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 10/14/2005
- Copy of the International Search Report filed on 10/14/2005
- Small Entity Statement filed on 10/14/2005
- U.S. Basic National Fees filed on 10/14/2005
- Priority Documents filed on 10/14/2005
- Specification filed on 10/14/2005
- Claims filed on 10/14/2005
- Abstracts filed on 10/14/2005
- Drawings filed on 10/14/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.



- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.					
10/553.225	PCT/US04/11949	P07921US01/BAS					

FORM PCT/DO/EO/905 (371 Formalities Notice)

